## REGISTRATION FOR UNEMPLOYMENT INSURANCE TAX

JOB SERVICE NORTH DAKOTA UNEMPLOYMENT INSURANCE

| Job Service Use |
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| Be Legendary. SFN 41216 (R. 10-2022)   |  |                   |                  |             | ST             |          |              |  |  |
|--|--|-------------------|------------------|-------------|----------------|----------|--------------|--|--|
| 3FN 41210 (R. 10-20  | 22)  |                   |                  | RE          |                |          |              |  |  |
|  |  |                   |                  | BY          |                | l FR     |              |  |  |
|  |  |                   |                  | RA          | IYR            |          | I-1          |  |  |
| UI TA  | X AND FIELD SERVICES                                   | ;                 |                  |             |                |          |              |  |  |
| BISMARCK   | PO BOX 5507<br>NORTH DAKOTA 58506                      | 5507              |                  | -2          | Q              |          | NAIC         |  |  |
| 701-328-2814 FAX: 701-328-1882 TTY RELAY ND 800-366-6888                     |  |                   | LOC              | I           | OWN            | 1        |              |  |  |
| ,                                      | , , , , , , , , , , , , , , , , , , ,                  | 2                 |                  |             |                |          |              |  |  |
|  |  |                   |                  |             |                |          |              |  |  |
|  |  |                   |                  | ·           |                |          |              |  |  |
| 1. Business Name   |  |                   |                  | 3. Tel      | ephone Nur     | nber     |              |  |  |
| 2. Corporate or Legal Name   |  |                   |                  | 1 For       | deral Emplo    | ver ID / | EEINI)       |  |  |
| 2. Corporate or Legal Name   |  |                   |                  | 7.100       | iciai Lilipio  | yei ib ( | i Liiv)      |  |  |
| 5. Mailing Address   | City   | State             | ZIP Code         |             | Website        |          |              |  |  |
| g .  |  |                   |                  |             |                |          |              |  |  |
| 6. Physical Address  | City   | State             | ZIP Code         |             | E-mail Add     | dress    |              |  |  |
|  |  |                   |                  |             |                |          |              |  |  |
| 7. Is (Are) any other business(es) being op                                  | perated in North Dakota by th                          | is ownership?     | If yes, name     | of busin    | ess(es):       |          |              |  |  |
| Yes No   |  |                   |                  |             |                |          |              |  |  |
| 8. Type of Ownership:  |  | <u>_</u>          |                  |             |                |          |              |  |  |
| Individual   | Partnership (Indic                                     | ate type: general | , LP, LLP, etc.) |             |                |          |              |  |  |
| Corporation Click  | Limited Liability C                                    | ompany (LLC) (Ir  | ndicate treatme  | nt for fede | eral income to | axreport | ing):        |  |  |
| Nonprofit Corporation  | Disregarded  | Entity   Partr    | nership 🔲 (      | Corporatio  | on S-C         | orp [    | Don't Know   |  |  |
| Government   | Indian Tribe or WI                                     | · Ш               |                  | Tribe       |                |          |              |  |  |
| S-Corp   | Cooperative  |                   |                  |             |                |          |              |  |  |
|  | Trust  |                   |                  |             |                |          |              |  |  |
|  |  |                   |                  |             |                |          |              |  |  |
| In what state was your business origina                                      | lly incorporated/registered?                           |                   |                  | Date:       |                |          |              |  |  |
| 9. List the owner(s) and all partners or con                                 |  | porate director   | or employee I    | naving a    | 20 percent     | or mor   | e ownership  |  |  |
| interest. Attach separate sheet if neces                                     | ssary.   |                   | Socia            | al Securi   | ity Per        | cent     |              |  |  |
| Name   | Address  | Title             | Nu               | umber       | Ow             | ned      | Exempt       |  |  |
|  |  |                   |                  |             |                |          |              |  |  |
|  |  |                   |                  |             |                |          |              |  |  |
|  |  |                   |                  |             |                |          |              |  |  |
|  |  |                   |                  |             |                |          |              |  |  |
|  |  |                   |                  |             |                |          |              |  |  |
|  |  |                   |                  |             |                |          |              |  |  |
|  |  |                   |                  |             |                |          |              |  |  |
| * In compliance with the Privacy Act of                                      |  |                   |                  |             |                |          |              |  |  |
| North Dakota Century Code 52-02-02. program eligibility purposes and program |  |                   | anuta iui iuen   | uncation    | , ieuerai an   | น รเสเซ  | ιαλ,         |  |  |
| 10. Do you have employees working in No                                      | •  | ou first employe  | ed workers or    | corporat    | te officers p  | erform   | ed services: |  |  |
| Yes No   |  | •                 |                  |             |                |          |              |  |  |
| If you are a government entity, Ind  | ian tribe, or wholly-owne                              | ed entity of a    | n Indian trib    | e, go t     | o Questio      | n 17.    |              |  |  |
| 11. Did you acquire any part of the ND as                                    | sets or business of another e                          |                   |                  |             |                |          | y way?       |  |  |
| Yes No If yes, complete Sc   | hedule B.  |                   |                  |             |                |          |              |  |  |
| 12. Are you liable for federal unemployme                                    |  |                   |                  |             |                |          |              |  |  |
| I I TOO I I TOO I DON'T KNOW   | yes, go to Question 18. Yo mploy workers in this state | u will be covere  | ed under Nortl   | n Dakota    | a law as of t  | he first | day you      |  |  |

| North Dakota Century Code 5   | * In compliance with the Privacy Act of 1974, a Social Security Number is mandatory on this form pursuant to 20 CFR 666.150 and/or North Dakota Century Code 52-02-02. This number is used by Job Service North Dakota for identification, federal and state tax, program eligibility purposes and program performance accountability. |                              |                        |                |              |  |  |  |
|---|--|------------------------------|------------------------|----------------|--------------|--|--|--|
| 10. Do you have employees wor Yes No  | king in North Dakota? If yes   | s, date you first employed w | orkers or corporate of | ficers perform | ed services: |  |  |  |
| If you are a government entity, Indian tribe, or wholly-owned entity of an Indian tribe, go to Question 17.   |  |                              |                        |                |              |  |  |  |
| 11. Did you acquire any part of the ND assets or business of another employer or change your business status/structure in any way?  Yes No If yes, complete Schedule B. |  |                              |                        |                |              |  |  |  |
| 12. Are you liable for federal unemployment taxes (FUTA)?   |  |                              |                        |                |              |  |  |  |
| Yes No Don't Know If yes, go to Question 18. You will be covered under North Dakota law as of the first day you employ workers in this state.                           |  |                              |                        |                |              |  |  |  |
|   |  |                              |                        |                |              |  |  |  |

| 13. Are you a nonprofit organization exempt from income taxes under Section 501(c)(3), IRS Code?  Yes No - Go to #14 Applied For - Go to #14   |  |  |  |                         |                          |    |
|--|--|--|--|-------------------------|--------------------------|----|
| If yes, complete this section and submit a copy of your exemption letter from the IRS to Job Service North Dakota. You need not complete sections 14 and 15.   |  |  |  |                         |                          |    |
| As a nonprofit organization, have you employed four or more persons during 20 weeks of any calendar year in any state?   |  |  |  |                         |                          |    |
| Yes No - Go to #16 If yes, date the 20th week was first reached:   |  |  |  |                         |                          |    |
| When answering Questions 14 and 15, include as employees all part-time workers and non-exempt (see Employer's Guide) corporate   |  |  |  |                         |                          |    |
| officers and limited liability company managers. Do not include spouse, children under 18 who live at home, or parents of an individual owner - this does not apply to corporations or limited liability companies. This exclusion applies to partnerships only if the worker has an exempting relationship with each partner. |  |  |  |                         |                          |    |
| 14. Enter the amount of wages you <u>have paid</u> in North Dakota (do not estimate or include wages earned but not paid):   |  |  |  |                         |                          |    |
|  |  | Jan. 1 to March 31                           | April 1 to June 30                     | July 1 to Sept. 30      | Oct. 1 to Dec. 31        |    |
|  | Current<br>Year                                      | \$   | \$                                     | \$                      | \$                       |    |
|  | Preceding<br>Year                                    | \$   | \$                                     | \$                      | \$                       |    |
|  | Prior<br>Year  | \$   | \$                                     | \$                      | \$                       |    |
|  | Year   | \$   | \$                                     | \$                      | \$                       |    |
| 15. During the   | e 20 weeks of any calenda                            | ır year, have you emplo                      | oyed: If yes, da                       | ate the 20th week was   | first reached.           |    |
| a. One or  | r more persons in <b>general</b>                     | employment?                                  | Yes No                                 |                         |                          |    |
| b. Ten or  | more persons in agricult                             | ural employment?                             | Yes                                    |                         | <del>_</del>             |    |
| 16 If it is dete   | ermined that you are not n                           | ow liable for coverage                       | do you want to become                  | e covered voluntarily?  | Yes No                   |    |
| See NDC  | C 52-05-03(2) for voluntar coverage is not available | y coverage information                       | l.                                     | o covered verdinarily . | Yes No                   |    |
| 17. Complete to exempt or  | this section only if you are                         | a governmental entity yes to either Question | , Indian tribe or wholly-<br>13 or 16. | owned entity of an Indi | an tribe, or a 501(c)(3) | ax |
|  | e of the following benefit fi                        |  |  |                         |                          |    |
| Reimb  | oursement of benefit paym                            | ents attributable to em                      | ployment with your org                 | anization.              |                          |    |
| <b> </b>   | ent of taxes on your quart                           |  |  | · ·                     |                          |    |
| Advan  | nced reimbursements at a                             | percent of your quarter                      | rly total payroll to be re             | determined annually.    |                          |    |
| Will default to  | Payment of Taxes: 1) if r                            | ot completed and/or 2)                       | ) if you have not provide              | ed an IRS exemption le  | etter.                   |    |
| -  | ndividuals you do not con                            |  | •                                      |                         | Yes                      | No |
| If yes, giv  | e reasons for excluding                              | them and indicate n                          | umber of persons inv                   | olved:                  |                          |    |
|  |  |  |  |                         |                          |    |
| 19. Does any part of your business activity include the provision of "temporary" or "leased" workers to a client company?  |  |  |  |                         |                          |    |
| 20. Give a specific description of your business activity in North Dakota.   |  |  |  |                         |                          |    |
| Enter on separate lines the principal product or activities of your firm. Following each item, list the percentage of sales value or receipts  |  |  |  |                         |                          |    |
| received from the product or activity; i.e., retail men's clothing, electrical construction-residential, or long haul trucking-refrigerated van.   |  |  |  |                         |                          |    |
|  |  |  |  |                         |                          |    |
|  |  |  | %                                      |                         |                          | %  |
|  |  |  |  |                         |                          |    |
|  |  |  | %                                      |                         |                          | %  |

| Address  | City  | State | ZIP Code     | Telephone | Permanent    | Temporar |
|----------|-------|-------|--------------|-----------|--------------|----------|
|          |       |       |              |           |              |          |
|          |       |       |              |           |              |          |
|          |       |       |              |           |              |          |
|          |       |       |              |           |              |          |
| Remarks: |       |       |              |           |              |          |
| Remarks: |       |       |              |           |              |          |
| Remarks: |       |       |              |           |              |          |
| Remarks: |       |       |              |           |              |          |
|          | Title |       | Telephone Nu | mber En   | nail Address |          |
| 2.       | Title |       | Telephone Nu |           |              |          |

## REPORT TO DETERMINE LIABILITY

SCHEDULE B - SUCCESSORSHIP QUESTIONNAIRE

Complete Schedule B only if you answered "yes" to question 11 on form SFN 41216, Report to Determine Liability

Successorship Reporting Requirement. If you acquired all or part of the organization, business, trade, or assets of another employer and will continue essentially the same business activity, you must provide the following information. If you made multiple acquisitions, you must file a separate Schedule B for each acquisition. Submit the completed Schedule B(s) along with Form SFN 41216, Report to Determine Liability, to Job Service North Dakota.

| PART 1: CURRENT/NEW OWNER INFORMATION   |  |  |
|---|--|--|
| Name  |  |  |
|   |  |  |
| UI Account Number   | Federal Employer Identification Numbe          | r                                      |
|   |  |  |
|   |  |  |
| PART 2: FORMER OWNER INFORMATION  |  |  |
| Former Owner's Name (required)  | Former Owner's UI Number or FEIN, if I         | known                                  |
|   |  |  |
| Corporate Name or DBA   |  | Area Code and Telephone Number         |
|   |  |  |
| Current Street Address (not a P.O. Box)   | City   | State ZIP Code                         |
|   |  |  |
|   |  | <u> </u>                               |
| PART 3: ACQUISITION INFORMATION   |  |  |
|   |  | nt Acquired Date Acquired              |
| Did you acquire all, part or none of the former owner's assets?   | All Part None                                  |  |
|   | Percer   | nt Acquired Date Acquired              |
| 2. Did you acquire all, part or none of the former owner's workforce?   | All Part None                                  |  |
|   | Percer   | nt Acquired Date Acquired              |
| Did you acquire all, part or none of the former owner's North     Dakota trade (customers/accounts)?            | All Part None                                  |  |
| Dakota trade (customers/accounts):  | Bereer   | nt Acquired Date Acquired              |
| 4. Did you acquire all, part or none of the former owner's North  | All Part None                                  | it Acquired Date Acquired              |
| Dakota business (products/services)?  |  |  |
| 5. Was the North Dakota business being operated at the time of the  | ☐ Yes ☐ No                                     | Date (MM, DD, YYYY)                    |
| acquisition? If no, enter the date it was closed by the former owner.   |  |  |
| 6. Are you continuing the North Dekete business you convired?   | Dyss DNs                                       |  |
| Are you continuing the North Dakota business you acquired?  | ∐ Yes ∐ No                                     |  |
| 7. Is your North Dakota business substantially owned or controlled in   |  |  |
| any way by the same interests that owned or controlled the former business?                                     | Yes No   |  |
|   |  |  |
| Will the previous business/account continue in business in North     Dakota?                                    | Yes No Don't Know                              |  |
|   |  |  |
| 9. If eligible, do you wish to continue the experience rating established<br>by the acquired/previous business? | Yes No   |  |
| If you do and are assigned your predecessor's tax rate, your new  | v account will also be chargeable for any be   | nefits payable to your                 |
| predecessor's workers.  |  |  |
| If you do not answer this question and it is determined that you are a li-                                      | able employer, you will receive the rate norma | lly assigned to new employers; it will |
| not include the predecessor's history.  |  |  |
|   |  |  |
| NDCC 52-04-08.2 provides for penalties in cases wher  | e the acquisition of a business is s           | solely or primarily for the            |
| purpose of obtaining a lower unemployment insuranc  | e tax rate. Criminal and/or civil per          | nalties apply.                         |
|   |  |  |
| Name of Owner/Officer   |  |  |
|   |  |  |
| Title   | Telephone Number                               | Date                                   |
|   | ·  |  |
|   |  |  |
| I certify the information on SFN 41216, Schedule B, is true   | and accurate                                   |  |
| I I I SCILLLY LICE HILDHINGHOLD ON OF IN 4 12 TO, OCHECULE D, IS LIVE   | and accurate.                                  |  |

**Notice**: Wage and other confidential information collected from employers as part of the unemployment insurance process may be requested and utilized for other governmental purposes, including, but not limited to, verification of eligibility under other government programs as required by law.